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William C. Novell  
Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	TRAVIS C. RILEY	§	
		§	
FILING DATE:	12/20/00	§	ATTORNEY DOCKET NO.
		§	3587/00-410
SERIAL NO.	09/745,262	§	
		§	
TITLE: METHOD AND APPARATUS FOR		§	
CREATION AND TRANSMISSION OF		§	
FINANCIAL STATEMENT DATA		§	

OATH AND POWER OF ATTORNEY

STATE OF TEXAS §  
COUNTY OF T Jefferson §

BEFORE ME, the undersigned authority, on this date, set forth below, personally appeared before me, **TRAVIS C. RILEY**, known to me to be the person whose signature is set forth below, and after being first duly sworn by me, did state under oath, the following:

My resident, post office address and citizenship is as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "**METHOD AND APPARATUS FOR CREATION AND TRANSMISSION OF FINANCIAL STATEMENT DATA**", the specification of which is filed herewith.

I hereby swear or affirm that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1,56(a).

### **1.56 DUTY OF DISCLOSURE-INFORMATION MATERIAL TO PATENTABILITY**

A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application; and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

I hereby swear or affirm that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



**POWER OF ATTORNEY**

As named inventors, we hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, **WILLIAM C. NORVELL, JR.**, Registration No. 26,212, of the firm of BEIRNE, MAYNARD & PARSONS, L.L.P., **Ernest Roy Purser, Sr.**, Registered Patent Agent, P.O. Box 15633, Arlington, Virginia 22215. I REQUEST THAT ALL CORRESPONDENCE BE ADDRESSED TO:

**William C. Norvell, Jr.**  
**BEIRNE, MAYNARD & PARSONS, L.L.P.**  
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**Full Name of Inventor:**

**Travis C. Riley**

**Inventors' Signature:**

Travis C. Riley

**Date:**

3/27/2001

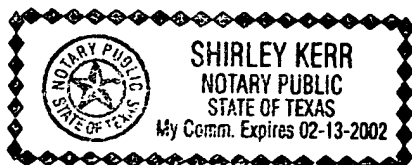
**Residence/Post Office Address:**

P. O. Box 6007  
Beaumont, Texas 77706

**Citizenship:**

**UNITED STATES OF AMERICA**

**SWORN TO AND SUBSCRIBED BEFORE ME**, a notary public in and for the State of Texas, County of Jefferson, on this the 27<sup>th</sup> day of March, 2001.



Shirley Kerr  
Notary Public in and for  
The State of Texas

My Commission Expires: 2-13-2002

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